

**HANDAL & MOROFSKY**

Counsellors at Law  
80 Washington Street  
Norwalk, Connecticut 06854  
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#18  
A Heh**FAX COVER SHEET**

**CONFIDENTIALITY NOTICE:** *The information contained in this facsimile message and any attachment is attorney privileged and confidential information intended only for the individual or entity named below. If you are not the intended recipient, you are hereby notified that any disclosure, dissemination or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone. Thank you.*

TO: Fax No. **(703) 308-3687**

PLEASE DELIVER THE FOLLOWING PAGES TO:

Name: **Examiner Geoffery AKERS** **GAU 2164**  
Company: **United States Patent and Trademark Office**  
**Assistant Commissioner for Patents**  
**Washington D.C. 20231**

Re: **Leslie STROH**  
**U.S. Patent Application No. 09/264,171** **Filed: March 5, 1999**  
**Attorney Docket No. STROH**  
**"TRADE FINANCING METHODS, INSTRUMENTS AND SYSTEMS"**

FROM: **Anthony H. Handal, Esq.**  
DATE: **June 26, 2002**

Fax No.: **(203) 838-8794**  
TIME: \_\_\_\_\_

YOU SHOULD RECEIVE **29** PAGE(S) OF COPY-INCLUDING THIS COVER LETTER. IF YOU DO NOT RECEIVE EVERY PAGE, PLEASE CALL (203) 838-8589 AS SOON AS POSSIBLE.

**COMMENTS**

Pursuant to our telephone conversation of today, please see the attached copy of an amendment for the above identified patent application which was faxed on April 29, 2002.

Respectfully submitted,

*Anthony H. Handal*  
Anthony H. Handal  
Reg. No. 26,275  
Roger Pitt  
Reg. No. 46,996

**PLEASE HAND CARRY TO EXAMINER GEOFFERY AKERS**

\*\*\*\*\* -COMM. -\*\*\*\*\* DATE APR-30-2002 \*\*\* TIME 15:37 \*\*\* P.01

MODE = MEMORY TRANSMISSION

START=APR-30 20:31

END=APR-30 20:37

FILE NO. = 206

STN NO.	COM	ABBR NO.	STATION NAME/TEL. NO.	PAGES	DURATION
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**HANDAL & MOROFSKY**

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TO: Fax No. (703) 305-6067

PLEASE DELIVER THE FOLLOWING PAGES TO:

Name: **Examiner Geoffery AKERS GAU 2164**  
Company: **United States Patent and Trademark Office**  
**Assistant Commissioner for Patents**  
**Washington D.C. 20231**

Re: **Leslie STROH**  
**U.S. Patent Application No. 09/264,171 Filed: March 5, 1999**  
**Attorney Docket No. STROH**  
**"TRADE FINANCING METHODS, INSTRUMENTS AND SYSTEMS"**

FROM: **Anthony H. Handal, Esq.** Fax No.: **(203) 838-8794**  
DATE: **April 29, 2002** TIME: \_\_\_\_\_

YOU SHOULD RECEIVE 27 PAGE(S) OF COPY-INCLUDING THIS COVER LETTER. IF YOU DO NOT RECEIVE EVERY PAGE, PLEASE CALL (203) 838-8589 AS SOON AS POSSIBLE.

**COMMENTS**

Please enter the accompanying amendment.

Respectfully submitted,

*Anthony H. Handal*  
Anthony H. Handal  
Reg. No. 26,275  
Roger Pitt  
Reg. No. 46,996

**PLEASE HAND CARRY TO EXAMINER GEOFFERY AKERS**

*6.26.02  
half similar  
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*6.26.02  
5:28.02  
10.10 left message.  
Receiving fax?  
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DATE: **April 29, 2002** TIME: \_\_\_\_\_

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Please enter the accompanying amendment.

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Roger Pitt  
Reg. No. 46,996

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PTO/SB/17 (11/00)

Page 1 of 2

# FEE TRANSMITTAL

## FOR FY 2001

Patent fees are subject to annual revision.

### Complete If Known

Application Number		09/264,177
Filing Date		March 5, 1999
First Named Inventor		STROH
Examiner Name		G. Akers
Group / Art Unit		2164
Attorney Docket No.		Stroh
Total Amount of Payment	(\$ 54.00)	

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **08-0570** Deposit Account Name: **Handal & Morofsky**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed: ☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE:

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

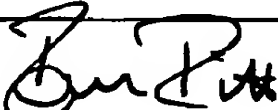
#### 2. EXTRA CLAIM FEES:

		Extra Claims	Fee from below	Fee Paid
Total Claims	[ ] -20**	= [ ]	x [ 9 ]	= [ ]
Independent Claims	[ ] -3**	= [ ]	x [ 40 ]	= [ ]
Multiple Dependent		= [ ]	x [ ]	= [ ]

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
103	18	203	9	Claims in excess of 20	54.00
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)54.00

Application Number: 09/264,171 Filing Date: March 5, 1999

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity		Small Entity			
Fee Code	Fee(s)	Fee Code	Fee(s)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$ 25.00
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b>	<b>(\$)</b>
SUBMITTED BY				Complete (if applicable):	
Typed or Printed Name	Anthony H. Handal/ Roger Pitt			Reg. Number	26,275/46,996
Signature			Date	April 30, 2002	Deposit Account User ID
					080570